

# DAUGAVET CENTERS AND DIRECT SUMS OF BANACH SPACES

TETIANA V. BOSENKO

**ABSTRACT.** A linear continuous nonzero operator  $G: X \rightarrow Y$  is a Daugavet center if every rank-1 operator  $T: X \rightarrow Y$  satisfies  $\|G + T\| = \|G\| + \|T\|$ . We study the case when either  $X$  or  $Y$  is a sum  $X_1 \oplus_F X_2$  of two Banach spaces  $X_1$  and  $X_2$  by some two-dimensional Banach space  $F$ . We completely describe the class of those  $F$  such that for some spaces  $X_1$  and  $X_2$  there exists a Daugavet center acting from  $X_1 \oplus_F X_2$ , and the class of those  $F$  such that for some pair of spaces  $X_1$  and  $X_2$  there is a Daugavet center acting into  $X_1 \oplus_F X_2$ . We also present several examples of such Daugavet centers.

## 1. INTRODUCTION

In the present paper we consider real Banach spaces which do not equal  $\{0\}$ , and denote them  $E$ ,  $X$  or  $Y$ . A linear continuous nonzero operator  $G: X \rightarrow Y$  is called a *Daugavet center* [3] if every rank-1 operator  $T: X \rightarrow Y$  satisfies the equation

$$(1.1) \quad \|G + T\| = \|G\| + \|T\|.$$

**Definition 1.1.** *We say that  $X$  is a Daugavet domain if there exists a Daugavet center  $G: X \rightarrow Y$  for some  $Y$ , and is a Daugavet range if there is a Daugavet center  $G: E \rightarrow X$  for some  $E$ .*

Throughout this paper  $F = (\mathbb{R}^2, \|\cdot\|)$  with  $\|(1, 0)\| = \|(0, 1)\| = 1$  and

$$(1.2) \quad \|(a_1, a_2)\| = \||(a_1, a_2)|\|$$

for every  $(a_1, a_2) \in F$ . For Banach spaces  $X_1$  and  $X_2$  their  $F$ -sum  $X_1 \oplus_F X_2$  is the space of all pairs  $(x_1, x_2)$  where  $x_1 \in X_1$  and  $x_2 \in X_2$ ,  $\|(x_1, x_2)\| := \|( \|x_1\|, \|x_2\| )\|_F$ .

We introduce the following order on  $F$ :  $(a_1, a_2) \geq (b_1, b_2)$  if  $a_1 \geq b_1$  and  $a_2 \geq b_2$ . It follows from (1.2) and a convexity argument that for every  $(a_1, a_2), (b_1, b_2) \in F$  with  $(|a_1|, |a_2|) \leq (|b_1|, |b_2|)$  the inequality  $\|(a_1, a_2)\| \leq \|(b_1, b_2)\|$  holds true. In this partial order  $F$  is a Banach lattice [8], so we will use the term “two-dimensional lattice” for  $F$  in the sequel.

The problem which we solve in this paper, consists of two parts: first, we characterize the class of those  $F$  for which there exist  $X_1$  and  $X_2$  such that

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$X_1 \oplus_F X_2$  is a Daugavet domain, and secondly, we characterize the class of those  $F$  for which there are  $X_1$  and  $X_2$  such that  $X_1 \oplus_F X_2$  is a Daugavet range.

Remark that a Daugavet domain and a Daugavet range are generalizations of a Banach space with the Daugavet property, and this motivates our interest in the subject. A Banach space  $X$  is said to have the Daugavet property if the identity operator  $\text{Id}: X \rightarrow X$  is a Daugavet center. The study of spaces with the Daugavet property is a rapidly developing branch of Banach space theory (see [6], [11], [13], and the most recent developments in [5], [7]). The following classical spaces have the Daugavet property:  $C(K)$  where  $K$  is a compact without isolated points [4],  $L_1(\mu)$  and  $L_\infty(\mu)$  where  $\mu$  has no atoms [9], and many Banach algebras ([14], [15]). Some exotic spaces have the Daugavet property as well, for instance, Talagrand's space ([6], [12]) and Bourgain-Rosenthal's space ([2], [7]).

Let us recall some recent results [3] related to Daugavet centers. If  $G$  is a Daugavet center then (1.1) also holds true when  $T$  is a strong Radon-Nikodým operator, e.g., a weakly compact operator. If  $X$  is a Daugavet domain or a Daugavet range then  $X$  contains subspaces isomorphic to  $\ell_1$ , is non-reflexive and does not have an unconditional basis (countable or uncountable). One cannot even embed such an  $X$  into a space having an unconditional basis or having a representation as unconditional sum of reflexive subspaces. In [10] Popov proves that every isometric embedding of  $L_1[0, 1]$  into itself is a Daugavet center. However, in [3] one can find examples of Daugavet centers which are not isometries.

The present work is inspired by [1]. It was shown in [1] and [6] that if  $X_1$  and  $X_2$  have the Daugavet property and  $F = \ell_1^{(2)}$  or  $\ell_\infty^{(2)}$  then  $X_1 \oplus_F X_2$  has the Daugavet property as well. In [1] the authors prove that  $X_1 \oplus_F X_2$  has the Daugavet property only if  $F = \ell_1^{(2)}$  or  $\ell_\infty^{(2)}$ . In our paper we generalize these results of [1], but use a new approach to the problem. Surprisingly in both parts of our problem we discover other spaces apart from  $F = \ell_1^{(2)}$  and  $F = \ell_\infty^{(2)}$ , which satisfy our demands.

Our approach is based on a necessary condition for a general Banach space  $X$  to be a Daugavet domain and on a necessary condition for  $X$  to be a Daugavet range. We deduce these two conditions in Section 2 (see Definition 2.1, Lemma 2.5 and Definition 2.2, Lemma 2.6) and then we show in Section 3 how they depend on  $F$  when  $X = X_1 \oplus_F X_2$  (see Lemma 3.6 and Lemma 3.7).

In Section 4 we find a rather small class  $\mathfrak{N}_2$  such that if  $X_1 \oplus_F X_2$  is a Daugavet range then  $F \in \mathfrak{N}_2$ , and in Section 5 we discover the analogous class  $\mathfrak{M}_2$  for the case of a Daugavet domain. Then for every  $F \in \mathfrak{M}_2$  we present an example of a Daugavet center acting *from* a sum of two Banach spaces by  $F$  (see Proposition 6.2), and this solves the first part of our problem. In a very similar way we solve its second part, namely we give examples of Daugavet centers acting *into* a sum of two Banach spaces by  $F$ .

for every  $F \in \mathfrak{N}_2$  (see Proposition 6.6). The obtained results illustrate that the notions of a Daugavet domain and a Daugavet range do not refer to the same Banach spaces.

Throughout this paper  $B_X$  denotes the closed unit ball of  $X$  and  $S_X$  denotes its unit sphere. We use the notation

$$B_F^+ := \{a \in B_F : a \geq 0\}$$

for the positive part of the unit ball and

$$S_F^+ := \{a \in S_F : a \geq 0\}$$

for the positive part of the unit sphere of  $F$ . We denote

$$S(B_X, z^*, \varepsilon) := \{x \in B_X : z^*(x) > 1 - \varepsilon\}$$

the slice of  $B_X$  determined by  $z^* \in S_{X^*}$  and  $\varepsilon > 0$ .

$$S(B_{X^*}, z, \varepsilon) = \{x^* \in B_{X^*} : z^*(x) > 1 - \varepsilon\}$$

denotes the weak\* slice of  $B_{X^*}$  determined by  $z \in S_X$  and  $\varepsilon > 0$ . For an  $x^* \in X^*$  and a  $y \in Y$  the symbol  $x^* \otimes y$  stands for the operator which acts from  $X$  into  $Y$  as follows:  $(x^* \otimes y)(x) = x^*(x)y$ .

Finally, let us cite a fact that we frequently use in the sequel.

**Theorem 1.2** ([3], Theorem 2.1). *For an operator  $G: X \rightarrow Y$  with  $\|G\| = 1$  the following assertions are equivalent:*

- (i)  *$G$  is a Daugavet center.*
- (ii) *For every  $y_0 \in S_Y$ ,  $x_0^* \in S_{X^*}$  and  $\varepsilon > 0$  there is an  $x \in S(B_X, x_0^*, \varepsilon)$  with  $\|Gx + y_0\| > 2 - \varepsilon$ .*
- (iii) *For every  $y_0 \in S_Y$ ,  $x_0^* \in S_{X^*}$  and  $\varepsilon > 0$  there is a  $y^* \in S(B_{Y^*}, y_0, \varepsilon)$  with  $\|G^*y^* + x_0^*\| > 2 - \varepsilon$ .*

## 2. BANACH SPACES DENYING THE DAUGAVET PROPERTY

**Definition 2.1.** *We say that  $X$  denies the Daugavet property with a set  $A \subset S_X$  if there is an  $\varepsilon > 0$  such that for every  $y \in A$  there exists an  $x^* \in S_{X^*}$  satisfying*

$$(2.1) \quad \|\text{Id} + x^* \otimes y\| < 2 - \varepsilon.$$

**Definition 2.2.** *We say that  $X$  star-denies the Daugavet property with a set  $A \subset S_{X^*}$  if there is an  $\varepsilon > 0$  such that for every  $x^* \in A$  there exists a  $y \in S_X$  satisfying (2.1).*

**Lemma 2.3.** *For  $A \subset S_X$  the following assertions are equivalent:*

- (i)  *$X$  denies the Daugavet property with  $A$ .*
- (ii) *There is an  $\varepsilon > 0$  such that for every  $y \in A$  a functional  $x^* \in S_{X^*}$  may be chosen so that every  $x \in S(B_X, x^*, \varepsilon)$  fulfills  $\|x + y\| < 2 - \varepsilon$ .*

(iii) *There is an  $\varepsilon > 0$  such that for every  $y \in A$  a functional  $x^* \in S_{X^*}$  may be chosen so that every  $y^* \in S(B_{X^*}, y, \varepsilon)$  fulfills  $\|x^* + y^*\| < 2 - \varepsilon$ .*

*Proof.* (i)  $\Rightarrow$  (ii) We have that there is an  $\varepsilon > 0$  such that for every  $y \in A$  there exists an  $x^* \in S_{X^*}$  satisfying

$$\|\text{Id} + x^* \otimes y\| = \sup_{x \in B_X} \|x + x^*(x)y\| < 2 - \varepsilon.$$

Hence  $\|x + x^*(x)y\| < 2 - \varepsilon$  for every  $x \in B_X$ . Let  $x \in S(B_X, x^*, \varepsilon/2)$  then  $\|x+y\| \leq \|x+x^*(x)y\| + \|y-x^*(x)y\| < 2 - \varepsilon + |1-x^*(x)| \cdot \|y\| < 2 - \varepsilon + \frac{\varepsilon}{2} = 2 - \frac{\varepsilon}{2}$

which implies (ii).

(ii)  $\Rightarrow$  (i) Let  $\varepsilon$  and  $x^*$  be from (ii). It is sufficient to show that  $\|x + x^*(x)y\| \leq 2 - \varepsilon/2$  for every  $x \in B_X$ . Let  $x \in S(B_X, x^*, \varepsilon/2)$  then

$$\|x + x^*(x)y\| \leq \|x + y\| + \|y - x^*(x)y\| < 2 - \varepsilon + |1 - x^*(x)| \cdot \|y\| < 2 - \frac{\varepsilon}{2}.$$

Let  $x \in S(B_X, -x^*, \varepsilon/2)$  then  $-x \in S(B_X, x^*, \varepsilon/2)$ . Hence  $\|x - y\| < 2 - \varepsilon$  and

$$\|x + x^*(x)y\| \leq \|x - y\| + \|y + x^*(x)y\| < 2 - \varepsilon + |1 + x^*(x)| \cdot \|y\| < 2 - \frac{\varepsilon}{2}.$$

Finally, let  $x \in B_X \setminus (S(B_X, x^*, \varepsilon/2) \cup S(B_X, -x^*, \varepsilon/2))$  then

$$\|x + x^*(x)y\| \leq \|x\| + |x^*(x)| \cdot \|y\| \leq 2 - \frac{\varepsilon}{2}.$$

The equivalence (i)  $\Leftrightarrow$  (iii) can be proved in a very similar fashion to (i)  $\Leftrightarrow$  (ii) using the fact that the norms of an operator and of its adjoint coincide.  $\square$

**Lemma 2.4.** *For  $A \subset S_{X^*}$  the following assertions are equivalent:*

- (i)  *$X$  star-denies the Daugavet property with  $A$ .*
- (ii) *There is an  $\varepsilon > 0$  such that for every  $x^* \in A$  a vector  $y \in S_X$  may be chosen so that every  $x \in S(B_X, x^*, \varepsilon)$  fulfills  $\|x + y\| < 2 - \varepsilon$ .*
- (iii) *There is an  $\varepsilon > 0$  such that for every  $x^* \in A$  a vector  $y \in S_X$  may be chosen so that every  $y^* \in S(B_{X^*}, y, \varepsilon)$  fulfills  $\|x^* + y^*\| < 2 - \varepsilon$ .*

One can prove Lemma 2.4 the same way as Lemma 2.3. The following two lemmas form the main result of this section.

**Lemma 2.5.** *Let there exist  $\delta > 0$  and  $z^* \in S_{X^*}$  such that  $X$  denies the Daugavet property with  $S(B_X, z^*, \delta) \cap S_X$ . Then  $X$  is not a Daugavet domain.*

*Proof.* According to Definition 1.1 we must prove that any  $G: X \rightarrow Y$  is not a Daugavet center for any  $Y$ . It is easy to see that if  $G$  is a Daugavet center then  $G/\|G\|$  is as well, so we consider only the case  $\|G\| = 1$ .

Take the  $\varepsilon$  from item (ii) of Lemma 2.3. At first we show that if every  $z \in S(B_X, z^*, \delta)$  satisfies  $\|Gz\| \leq 1 - \varepsilon/2$  then  $G$  is not a Daugavet center.

Put  $\varepsilon_0 := \min\{\varepsilon/2, \delta\}$ , then for every  $y \in S_Y$  and every  $z \in S(B_X, z^*, \varepsilon_0)$  we have

$$\|y + Gz\| \leq 1 + \|Gz\| \leq 2 - \frac{\varepsilon}{2} \leq 2 - \varepsilon_0.$$

Theorem 1.2, item (ii) implies that  $G$  is not a Daugavet center.

So, we suppose that there is a  $z_0 \in S(B_X, z^*, \delta)$  with

$$(2.2) \quad \|Gz_0\| > 1 - \frac{\varepsilon}{2}.$$

We can assume  $\|z_0\| = 1$ , because if  $z_0 \in B_X$  fulfills  $z^*(z_0) > 1 - \delta$  and (2.2) then  $z_0/\|z_0\|$  does as well. In addition, (2.2) implies that there is a  $y_0 \in S_Y$  with  $\|y_0 - Gz_0\| < \varepsilon/2$ . Since  $X$  denies the Daugavet property with  $S(B_X, z^*, \delta) \cap S_X$ , there is an  $x^* \in S_{X^*}$  such that every  $x \in S(B_X, x^*, \varepsilon)$  satisfies  $\|x + z_0\| < 2 - \varepsilon$ . Hence for every  $x \in S(B_X, x^*, \varepsilon)$  we have

$$\|y_0 + Gx\| \leq \|y_0 - Gz_0\| + \|Gx + Gz_0\| < \frac{\varepsilon}{2} + \|x + z_0\| < 2 - \frac{\varepsilon}{2}.$$

By Theorem 1.2, item (ii)  $G$  is not a Daugavet center.  $\square$

**Lemma 2.6.** *Let there exist  $\delta > 0$  and  $z \in S_X$  such that  $X$  star-denies the Daugavet property with  $S(B_{X^*}, z, \delta) \cap S_{X^*}$ . Then  $X$  is not a Daugavet range.*

Using item (iii) of Lemma 2.4 and item (iii) of Theorem 1.2 one can prove Lemma 2.6 in a very similar fashion to Lemma 2.5.

### 3. TWO-DIMENSIONAL LATTICES DENYING THE POSITIVE DAUGAVET PROPERTY

**Definition 3.1.** *We say that  $F$  denies the positive Daugavet property with  $A \subset S_F^+$  if there is an  $\varepsilon > 0$  such that for every  $a \in A$  there exists an  $f^* \in S_{F^*}^+$  satisfying*

$$(3.1) \quad \|\text{Id} + f^* \otimes a\| < 2 - \varepsilon.$$

**Definition 3.2.** *We say that  $F$  star-denies the positive Daugavet property with  $A \subset S_{F^*}^+$  if there is an  $\varepsilon > 0$  such that for every  $f^* \in A$  there exists an  $a \in S_F^+$  satisfying (3.1).*

The following two lemmas are complete analogs of Lemmas 2.3 and 2.4, so we skip their proofs.

**Lemma 3.3.** *For  $A \subset S_F^+$  the following assertions are equivalent:*

- (i)  *$F$  denies the positive Daugavet property with  $A$ .*
- (ii) *There is an  $\varepsilon > 0$  such that for every  $a \in A$  a functional  $f^* \in S_{F^*}^+$  may be chosen so that every  $b \in S(B_F, f^*, \varepsilon) \cap B_F^+$  fulfills  $\|a + b\| < 2 - \varepsilon$ .*
- (iii) *There is an  $\varepsilon > 0$  such that for every  $a \in A$  a functional  $f^* \in S_{F^*}^+$  may be chosen so that every  $g^* \in S(B_{F^*}, a, \varepsilon) \cap B_{F^*}^+$  fulfills  $\|f^* + g^*\| < 2 - \varepsilon$ .*

**Lemma 3.4.** *For  $A \subset S_{F^*}^+$  the following assertions are equivalent:*

- (i)  *$F$  star-denies the positive Daugavet property with  $A$ .*
- (ii) *There is an  $\varepsilon > 0$  such that for every  $f^* \in A$  a vector  $a \in S_F^+$  may be chosen so that every  $b \in S(B_F, f^*, \varepsilon) \cap B_F^+$  fulfills  $\|a + b\| < 2 - \varepsilon$ .*
- (iii) *There is an  $\varepsilon > 0$  such that for every  $f^* \in A$  a vector  $a \in S_F^+$  may be chosen so that every  $g^* \in S(B_{F^*}, a, \varepsilon) \cap B_{F^*}^+$  fulfills  $\|f^* + g^*\| < 2 - \varepsilon$ .*

Recall that  $F^* = \mathbb{R}^2$  with the norm

$$\|(f_1, f_2)\|_{F^*} := \max_{(a_1, a_2) \in B_F} |f_1 a_1 + f_2 a_2|$$

and  $F^{**} = F$ . We introduce an order on  $F^*$  the same way as on  $F$ . It is easy to see that  $\|(1, 0)\|_{F^*} = \|(0, 1)\|_{F^*} = 1$  and  $\|(f_1, f_2)\|_{F^*} = \|(|f_1|, |f_2|)\|_{F^*}$  for every  $(f_1, f_2) \in F^*$ . Hence  $F^*$  is a two-dimensional lattice as well. Lemmas 3.3 and 3.4 evidently imply the following fact (which one can easily deduce from Definitions 3.1 and 3.2 as well).

**Lemma 3.5.** *Let  $A \subset S_F^+$  and  $\tilde{A} \subset S_{F^*}^+$ .*

- (a) *If  $F$  denies the positive Daugavet property with  $A$  then  $F^*$  star-denies the positive Daugavet property with  $A$ .*
- (b) *If  $F$  star-denies the positive Daugavet property with  $\tilde{A}$  then  $F^*$  denies the positive Daugavet property with  $\tilde{A}$ .*

Here is the key lemma of this section. In its proof we use the idea from Theorem 5.1 of [1].

**Lemma 3.6.** *Let there exist  $w^* \in S_{F^*}^+$  and  $\delta > 0$  such that  $F$  denies the positive Daugavet property with  $S(B_F, w^*, \delta) \cap S_F^+$ . Then  $X_1 \oplus_F X_2$  is not a Daugavet domain for any  $X_1$  and  $X_2$ .*

*Proof.* It is easy to see that  $(X_1 \oplus_F X_2)^* = X_1^* \oplus_{F^*} X_2^*$  for every  $X_1$  and  $X_2$ . Pick a  $z^* = (z_1^*, z_2^*) \in S_{(X_1 \oplus_F X_2)^*}$  with  $(\|z_1^*\|, \|z_2^*\|) = w^*$ . Then for a  $y = (y_1, y_2) \in S(B_{X_1 \oplus_F X_2}, z^*, \delta) \cap S_{X_1 \oplus_F X_2}$  we have

$$\|z_1^*\| \|y_1\| + \|z_2^*\| \|y_2\| \geq z_1^*(y_1) + z_2^*(y_2) = z^*(y) > 1 - \delta.$$

Hence  $a := (\|y_1\|, \|y_2\|) \in S(B_F, w^*, \delta) \cap S_F^+$ . By item (ii) of Lemma 3.3 there exist  $\varepsilon > 0$  and  $f^* \in S_{F^*}^+$  such that every  $b \in S(B_F, f^*, \varepsilon) \cap B_F^+$  satisfies  $\|a + b\| < 2 - \varepsilon$ .

Pick an  $x^* = (x_1^*, x_2^*) \in S_{(X_1 \oplus_F X_2)^*}$  with  $(\|x_1^*\|, \|x_2^*\|) = f^*$ . Then for every  $x = (x_1, x_2) \in S(B_{X_1 \oplus_F X_2}, x^*, \varepsilon)$  we have  $b_x := (\|x_1\|, \|x_2\|) \in S(B_F, f^*, \varepsilon) \cap B_F^+$  and therefore

$$\begin{aligned} \|x + y\| &= \|(\|x_1 + y_1\|, \|x_2 + y_2\|)\| \\ &\leq \|(\|x_1\| + \|y_1\|, \|x_2\| + \|y_2\|)\| = \|a + b_x\| < 2 - \varepsilon. \end{aligned}$$

By item (ii) of Lemma 2.3  $X_1 \oplus_F X_2$  denies the Daugavet property for  $S(B_{X_1 \oplus_F X_2}, z^*, \delta) \cap S_{X_1 \oplus_F X_2}$ . So, Lemma 2.5 implies that  $X_1 \oplus_F X_2$  is not a Daugavet domain.  $\square$

The same conclusions based on item (iii) of Lemma 3.4, item (iii) of Lemma 2.4, and Lemma 2.6 prove the following fact:

**Lemma 3.7.** *Let there exist  $w \in S_F^+$  and  $\delta > 0$  such that  $F$  star-denies the positive Daugavet property with  $S(B_{F^*}, w, \delta) \cap S_{F^*}^+$ . Then  $X_1 \oplus_F X_2$  is not a Daugavet range for any  $X_1$  and  $X_2$ .*

#### 4. SUMS OF SPACES WHICH ARE NOT DAUGAVET RANGES

In this section we find a large class of those  $F$  which star-deny the positive Daugavet property with some  $S(B_{F^*}, w, \delta) \cap S_{F^*}^+$ . Throughout this and the following sections  $e_1 := (1, 0) \in S_F^+$ ,  $e_2 := (0, 1) \in S_F^+$ , and the symbol  $[a, b]$  is reserved for the line segment with the end points in  $a, b \in F$ .

**Lemma 4.1.** *Let  $D$  be a closed subset of  $S_{F^*}^+$ . Suppose for every  $f^* \in D$  there exists an  $\varepsilon > 0$  such that the property  $P(f^*, \varepsilon) := \{ \text{there is an } a \in S_F^+ \text{ such that every } b \in S(B_F, f^*, \varepsilon) \cap B_F^+ \text{ satisfies } \|a + b\| < 2 - \varepsilon \}$  holds true. Then  $F$  star-denies the positive Daugavet property with  $D$ .*

*Proof.* Note that if  $P(f^*, \varepsilon)$  holds true then  $P(f^*, \varepsilon_1)$  holds for every  $\varepsilon_1$ :  $0 < \varepsilon_1 < \varepsilon$ . Our goal is to show that there exists a common  $\varepsilon_{min} > 0$  such that  $P(f^*, \varepsilon_{min})$  holds true for every  $f^* \in D$ .

Consider the function  $u(f^*) : D \rightarrow (0, 1)$ ,  $u(f^*) = \sup\{\varepsilon > 0 : P(f^*, \varepsilon) \text{ holds true}\}$ . Let us prove that  $u(f^*)$  reaches its minimum value on  $D$ . Since  $D$  is compact, it is sufficient to show that  $u(f^*)$  is lower semicontinuous, i.e. that the set  $u^{-1}((x, 1))$  is open for every  $x \in [0, 1)$ .

Let  $f^* \in u^{-1}((x, 1))$ . This means that  $u(f^*) = \sup\{\varepsilon > 0 : P(f^*, \varepsilon) \text{ holds true}\} > x$ . Hence there exist  $\varepsilon_0 > x$  and  $a \in S_F^+$  such that every  $b \in S(B_F, f^*, \varepsilon_0) \cap B_F^+$  fulfills  $\|a + b\| < 2 - \varepsilon_0$ .

Take an  $\varepsilon_1$ :  $x < \varepsilon_1 < \varepsilon_0$  and put  $\delta := \varepsilon_0 - \varepsilon_1$ . The set  $D \cap B_{F^*}(f^*, \delta)$  is a relative neighborhood of  $f^*$  in  $D$ . Let us show that  $D \cap B_{F^*}(f^*, \delta) \subset u^{-1}((x, 1))$ .

Let  $f_1^* \in D \cap B_{F^*}(f^*, \delta)$ . Then every  $b \in S(B_F, f_1^*, \varepsilon_1) \cap B_F^+$  fulfills

$$f^*(b) \geq f_1^*(b) - \delta > 1 - \varepsilon_1 - \delta = 1 - \varepsilon_0.$$

Thus  $b \in S(B_F, f^*, \varepsilon_0) \cap B_F^+$ , so we have

$$\|a + b\| < 2 - \varepsilon_0 < 2 - \varepsilon_1.$$

This means that  $u(f_1^*) \geq \varepsilon_1 > x$  and  $f_1^* \in u^{-1}((x, 1))$ . Consequently,  $u^{-1}((x, 1))$  is open and  $u(f^*)$  is lower semicontinuous. Then there exists an  $f_0^* \in D$  such that

$$u(f_0^*) = \min_{f^* \in D} u(f^*).$$

Take an  $\varepsilon_{min}$ :  $0 < \varepsilon_{min} < u(f_0^*)$  then  $P(f_0^*, \varepsilon_{min})$  holds true for every  $f^* \in D$ .  $\square$

**Lemma 4.2.** *Let  $a \in S_F^+$  and  $f^* \in S_{F^*}^+$ . Suppose for every  $\varepsilon > 0$  there is a  $b \in S(B_F, f^*, \varepsilon) \cap B_F^+$  with  $\|a + b\| \geq 2 - \varepsilon$ . Then there exists a  $b_0 \in S_F^+$  such that  $f^*(b_0) = 1$  and  $[a, b_0] \subset S_F^+$ .*

*Proof.* Consider a vanishing sequence  $\{\varepsilon_n\}_{n=1}^\infty$ ,  $\varepsilon_n > 0$ . For every  $n \in \mathbb{N}$  there exists a  $b_n \in B_F^+$  with  $f^*(b_n) > 1 - \varepsilon_n$  and  $\|a + b_n\| \geq 2 - \varepsilon_n$ .

Since  $B_F^+$  is a compact set, there exists a subsequence  $\{b_{n_i}\}_{i=1}^\infty$  of  $\{b_n\}_{n=1}^\infty$  that converges to some  $b_0 \in B_F^+$ . Then  $f^*(b_0) = 1$  and  $\|a + b_0\| = 2$  which implies  $[a, b_0] \subset S_F^+$ .  $\square$

Denote  $\mathfrak{N}_3$  the class of those  $F$  whose  $S_F^+$  is a polygon which consists of at most three edges.

**Lemma 4.3.** *Let  $F \notin \mathfrak{N}_3$ . Then  $F$  star-denies the positive Daugavet property with  $S_{F^*}^+$ .*

*Proof.* Assume to the contrary that there exists an  $f^* \in S_{F^*}^+$  such that for every  $\varepsilon > 0$  and  $a_0 \in S_F^+$  there is a  $b \in S(B_F, f^*, \varepsilon) \cap B_F^+$  with  $\|a_0 + b\| \geq 2 - \varepsilon$ .

Consider the set  $\Delta := \{a \in S_F^+ : f^*(a) = 1\}$ . It is easy to see that  $\Delta$  is a segment or a point. Put  $a_0 := e_1$ . By Lemma 4.2 there exists a  $b_0 \in \Delta$  such that  $[b_0, e_1] \subset S_F^+$ . If we put  $a_0 := e_2$  we obtain a  $b_1 \in \Delta$  with  $[b_1, e_2] \subset S_F^+$ . Then  $F \in \mathfrak{N}_3$ , because  $S_F^+$  consists of at most three segments:  $[b_0, e_1]$ ,  $\Delta$  and  $[b_1, e_2]$ . This contradiction completes the proof.  $\square$

**Lemma 4.4.** *Let  $S_F^+$  be a polygon which consists of exactly three edges. Then there exists a  $w^* = (w_1, w_2) \in S_{F^*}^+$  with  $w_1 < 1$  and  $w_2 < 1$  such that  $F$  star-denies the positive Daugavet property with  $S_{F^*}^+ \setminus \overset{\circ}{B}_{F^*}$  ( $w^*, \delta_0$ ) for every  $\delta_0 > 0$ .*

*Proof.* Since  $S_F^+$  consists of three edges, it has four vertexes. The points  $e_1$  and  $e_2$  are two of them, denote  $h_1$  and  $h_2$  the remaining ones in such a way that  $[e_1, h_1] \cup [e_2, h_2] \subset S_F^+$ . There is the unique  $w^* = (w_1, w_2) \in S_{F^*}^+$  such that  $\{a \in S_F^+ : w^*(a) = 1\} = [h_1, h_2]$ . It is obvious that  $w_1 < 1$  and  $w_2 < 1$ .

Consider a  $\delta_0 > 0$  and an  $f^* \in S_{F^*}^+ \setminus \overset{\circ}{B}_{F^*}$  ( $w^*, \delta_0$ ). Denote  $\Delta := \{a \in S_F^+ : f^*(a) = 1\}$ , it is a segment or a point. Assume that for every  $\varepsilon > 0$  and  $a \in S_F^+$  there exists a  $b \in S(B_F, f^*, \varepsilon) \cap B_F^+$  with  $\|a + b\| \geq 2 - \varepsilon$ . By Lemma 4.2 there are  $b_1, b_2 \in \Delta$  such that  $[b_1, e_1] \subset S_F^+$  and  $[b_2, e_2] \subset S_F^+$ . Hence  $b_1 \in [e_1, h_1]$  and  $b_2 \in [e_2, h_2]$ . Since  $[e_1, h_1] \cap [e_2, h_2] = \emptyset$  then  $\Delta \not\subseteq [e_1, h_1]$ ,  $\Delta \not\subseteq [e_2, h_2]$ , and  $\Delta$  is a segment. Consequently,  $\Delta \subset [h_1, h_2]$ . But then  $w^* = f^*$ , so we come to contradiction.

Thus for every  $f^* \in S_{F^*}^+ \setminus \overset{\circ}{B}_{F^*}$  ( $w^*, \delta_0$ ) there are  $\varepsilon > 0$  and  $a \in S_F^+$  such that every  $b \in S(B_F, f^*, \varepsilon) \cap B_F^+$  satisfies  $\|a + b\| < 2 - \varepsilon$ . Since  $S_{F^*}^+ \setminus \overset{\circ}{B}_{F^*}$  ( $w^*, \delta_0$ ) is closed, Lemma 4.1 implies the needed result.  $\square$

Denote  $\mathfrak{N}_2$  the class of those  $F$  whose  $S_F^+$  is a polygon which consists of at most two edges.

**Corollary 4.5.** *Let  $F \notin \mathfrak{N}_2$ . Then there is a  $\delta > 0$  such that  $F$  star-denies the positive Daugavet property with  $S(B_{F^*}, e_1, \delta) \cap S_{F^*}^+$ .*

*Proof.* If  $F \notin \mathfrak{N}_3$  then by Lemma 4.3 the statement is proved.

If  $S_F^+$  is a polygon which consists of exactly three edges then by Lemma 4.4 there exists a  $w^* = (w_1, w_2) \in S_{F^*}^+$  with  $w_1 < 1$  such that  $F$  star-denies the positive Daugavet property with  $S_{F^*}^+ \setminus \overset{\circ}{B}_{F^*}(w^*, \delta_0)$  for every  $\delta_0 > 0$ . Pick a  $\delta_0 > 0$  with  $\delta_0 + w_1 < 1$  and a  $\delta$  such that  $0 < \delta < 1 - w_1 - \delta_0$ . Then every  $f^* \in \overset{\circ}{B}_{F^*}(w^*, \delta_0)$  satisfies

$$f^*(e_1) < w^*(e_1) + \delta_0 = w_1 + \delta_0 < 1 - \delta.$$

Hence  $\overset{\circ}{B}_{F^*}(w^*, \delta_0) \cap S(B_{F^*}, e_1, \delta) = \emptyset$ . Thus  $F$  star-denies the positive Daugavet property with  $S(B_{F^*}, e_1, \delta) \cap S_{F^*}^+$ .  $\square$

We obtain the following fact by the successive application of Corollary 4.5 and Lemma 3.7.

**Corollary 4.6.** *Let  $F \notin \mathfrak{N}_2$ . Then  $X_1 \oplus_F X_2$  is not a Daugavet range for any  $X_1$  and  $X_2$ .*

## 5. SUMS OF SPACES WHICH ARE NOT DAUGAVET DOMAINS

**Lemma 5.1.** *Let  $F^* \notin \mathfrak{N}_2$ . Then  $X_1 \oplus_F X_2$  is not a Daugavet domain for any  $X_1$  and  $X_2$ .*

*Proof.* By Corollary 4.5 there is a  $\delta > 0$  such that  $F^*$  star-denies the positive Daugavet property with  $S(B_{F^{**}}, e_1, \delta) \cap S_{F^{**}}^+$ . Recall that  $F^{**} = F$ . Therefore it follows from Lemma 3.5 that  $F$  denies the positive Daugavet property with  $S(B_F, e_1, \delta) \cap S_F^+$ . Then Lemma 3.6 gives the needed result.  $\square$

We characterize the class of those  $F$  such that  $S_F^+$  is a polygon with at most two edges, with the help of the following notation. Consider an  $F$  whose  $S_F^+$  is a polygon with  $n$  edges. Denote  $\hat{x}_1 := \max_{(1,y) \in S_F^+} y$  and  $\hat{x}_2 := \max_{(x,1) \in S_F^+} x$ . We say that  $F$  belongs to  $\mathcal{F}_{n-1,n}$  if  $\hat{x}_1 > 0$  and  $\hat{x}_2 > 0$ . If only one of  $\hat{x}_j$  equals zero, we say that  $F \in \mathcal{F}_{n,n}$ . And if both  $\hat{x}_1 = \hat{x}_2 = 0$  then  $F \in \mathcal{F}_{n+1,n}$  (see Figure 1).

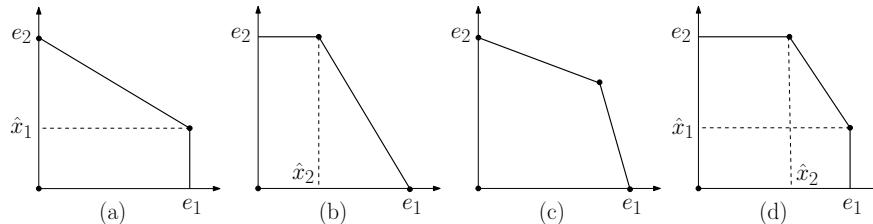


FIGURE 1. Those  $F$  whose  $S_F^+$  are presented on pictures (a) and (b), belong to  $\mathcal{F}_{2,2}$ . Picture (c) shows  $S_F^+$  of  $F \in \mathcal{F}_{3,2}$  and (d) shows  $S_F^+$  of  $F \in \mathcal{F}_{2,3}$ .

Thus,  $\mathfrak{N}_2 = \{\ell_1^{(2)}\} \cup \{\ell_\infty^{(2)}\} \cup \mathcal{F}_{2,2} \cup \mathcal{F}_{3,2}$ . Let  $n \in \mathbb{N}$  and  $m \in \{n-1, n, n+1\}$ . It is easy to see that  $F^* \in \mathcal{F}_{n,m}$  if and only if  $F \in \mathcal{F}_{m,n}$ . Therefore, if  $F^* \in \mathcal{F}_{2,2} \cup \mathcal{F}_{3,2}$  then  $F \in \mathcal{F}_{2,2} \cup \mathcal{F}_{2,3}$ . So, we obtain the following fact:

**Corollary 5.2.** *Let  $F \notin \{\ell_1^{(2)}\} \cup \{\ell_\infty^{(2)}\} \cup \mathcal{F}_{2,2} \cup \mathcal{F}_{2,3} =: \mathfrak{M}_2$ . Then  $X_1 \oplus_F X_2$  is not a Daugavet domain for any  $X_1$  and  $X_2$ .*

## 6. EXAMPLES OF DAUGAVET CENTERS ACTING FROM AND INTO A SUM OF TWO BANACH SPACES

In this section we show that for every  $F \in \mathfrak{M}_2$  there exists a Daugavet domain  $X_1 \oplus_F X_2$ , and for every  $F \in \mathfrak{N}_2$  there is a Daugavet range  $X_1 \oplus_F X_2$ .

For  $F = \ell_1^{(2)}$  and  $F = \ell_\infty^{(2)}$  several examples of  $X_1 \oplus_F X_2$  which are Daugavet domains and Daugavet ranges, are known. For instance, if  $X$  is a Daugavet domain then for every  $E$  the sum  $X \oplus_\infty E$  is as well; and if  $X$  is a Daugavet range then  $X \oplus_1 E$  is. If  $G_1: X_1 \rightarrow Y_1$  and  $G_2: X_2 \rightarrow Y_2$  are Daugavet centers then  $G: X_1 \oplus_1 X_2 \rightarrow Y_1 \oplus_1 Y_2$  and  $\tilde{G}: X_1 \oplus_\infty X_2 \rightarrow Y_1 \oplus_\infty Y_2$  which map every  $(x_1, x_2)$  into  $(G_1 x_1, G_2 x_2)$ , are Daugavet centers as well [3].

For future reference we mention the following fact:

**Lemma 6.1** ([6], Lemma 2.8). *If  $X$  has the Daugavet property then for every finite-dimensional subspace  $Y_0$  of  $X$ , every  $\varepsilon > 0$ , and every slice  $S(B_X, x^*, \varepsilon)$  there is an  $x \in S(B_X, x^*, \varepsilon)$  such that every  $y \in Y_0$  and  $t \in \mathbb{R}$  fulfill*

$$\|y + tx\| \geq (1 - \varepsilon)(\|y\| + |t|).$$

Consider an  $F \in \mathcal{F}_{2,2} \cup \mathcal{F}_{2,3}$ . Denote  $c_1 := (1, \hat{x}_1) \in S_F^+$  and  $c_2 := (\hat{x}_2, 1) \in S_F^+$ . Then  $[c_1, c_2] \subset S_F^+$  (see Figure 2).

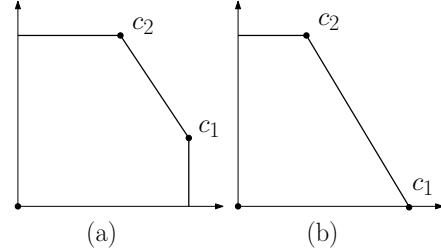


FIGURE 2. Picture (a) shows  $S_F^+$  of  $F \in \mathcal{F}_{2,3}$  and (b) presents  $S_F^+$  of  $F \in \mathcal{F}_{2,2}$ .

Let the line containing  $[c_1, c_2]$  have the equation  $f_1 a_1 + f_2 a_2 = 1$  with  $(f_1, f_2) \in S_{F^*}^+$ . Remark that for every  $w^* \in S_{F^*}^+$  and  $\varepsilon > 0$  we have  $S(B_F, w^*, \varepsilon) \cap [c_1, c_2] \neq \emptyset$ . In other words, there exists an  $(a_1, a_2) \in S(B_F, w^*, \varepsilon)$  such that  $f_1 a_1 + f_2 a_2 = 1$ .

**Proposition 6.2.** *Let  $X$  have the Daugavet property,  $F \in \mathcal{F}_{2,2} \cup \mathcal{F}_{2,3}$ , and let  $(f_1, f_2) \in S_{F^*}^+$  be the functional described above. Then  $G: X \oplus_F X \rightarrow X$ ,  $G(x_1, x_2) = f_1x_1 + f_2x_2$  is a Daugavet center.*

*Proof.* At first, calculate  $\|G\|$ :

$$\|G\| = \sup_{(x_1, x_2) \in S_{X \oplus_F X}} \|f_1x_1 + f_2x_2\| = \sup_{(x_1, x_2) \in S_{X \oplus_F X}} (f_1\|x_1\| + f_2\|x_2\|) = 1.$$

Let  $\varepsilon > 0$ ,  $y_0 \in S_X$  and  $x^* = (x_1^*, x_2^*) \in S_{(X \oplus_F X)^*}$ .

By Lemma 6.1 there exists an  $\tilde{x}_1 \in B_X$  with  $x_1^*(\tilde{x}_1) \geq \|x_1^*\|(1 - \varepsilon/4)$  and

$$(6.1) \quad \|y_0 + t\tilde{x}_1\| \geq \left(1 - \frac{\varepsilon}{4}\right) (1 + |t|)$$

for every  $t \in \mathbb{R}$ . Using again Lemma 6.1 we have an  $\tilde{x}_2 \in B_X$  with  $x_2^*(\tilde{x}_2) \geq \|x_2^*\|(1 - \varepsilon/4)$  and

$$(6.2) \quad \|y_0 + t\tilde{x}_2\| \geq \left(1 - \frac{\varepsilon}{4}\right) (\|y_0\| + |t|)$$

for every  $y \in \text{lin}\{y_0, \tilde{x}_1\}$  and every  $t \in \mathbb{R}$ .

Denote  $w^* := (\|x_1^*\|, \|x_2^*\|) \in S_{F^*}^+$ . Let  $(a_1, a_2) \in S(B_F, w^*, 3\varepsilon/4)$  such that  $f_1a_1 + f_2a_2 = 1$ . Then for  $x := (a_1\tilde{x}_1, a_2\tilde{x}_2) \in B_{X \oplus_F X}$  we have

$$\begin{aligned} x^*(x) &= a_1x_1^*(\tilde{x}_1) + a_2x_2^*(\tilde{x}_2) \geq \left(1 - \frac{\varepsilon}{4}\right) (a_1\|x_1^*\| + a_2\|x_2^*\|) \\ &\geq \left(1 - \frac{\varepsilon}{4}\right) \left(1 - \frac{3\varepsilon}{4}\right) > 1 - \varepsilon. \end{aligned}$$

Hence  $x \in S(B_{X \oplus_F X}, x^*, \varepsilon)$  and

$$\|y_0 + Gx\| = \|y_0 + f_1a_1\tilde{x}_1 + f_2a_2\tilde{x}_2\|$$

by (6.2)

$$> \left(1 - \frac{\varepsilon}{4}\right) (\|y_0 + f_1a_1\tilde{x}_1\| + f_2a_2)$$

by (6.1)

$$> \left(1 - \frac{\varepsilon}{4}\right)^2 (1 + f_1a_1 + f_2a_2) = 2 \left(1 - \frac{\varepsilon}{4}\right)^2 > 2 - \varepsilon.$$

Theorem 1.2, item (ii) implies that  $G$  is a Daugavet center.  $\square$

**Corollary 6.3.** *For an  $F$  there exists a Daugavet domain  $X_1 \oplus_F X_2$  if and only if  $F \in \mathfrak{M}_2$ .*

**Remark 6.4.** *Note that  $\mathfrak{M}_2 \not\subseteq \mathfrak{N}_2$ . Then Corollary 6.3 and Corollary 4.6 imply that there exist Daugavet domains which are not Daugavet ranges.*

Now we present more examples of Daugavet centers acting from  $X_1 \oplus_F X_2$  where  $F = \ell_1^{(2)}$  or  $F = \ell_\infty^{(2)}$ .

**Proposition 6.5.** *Let  $X$  have the Daugavet property. Then*

- (a) *The operator  $G: X \oplus_1 X \rightarrow X$ ,  $G(x_1, x_2) = x_1 + x_2$  is a Daugavet center.*

(b) For every  $f_1, f_2 > 0$  the operator  $G: X \oplus_\infty X \rightarrow X$ ,  $G(x_1, x_2) = f_1x_1 + f_2x_2$  is a Daugavet center.

Proposition 6.5 can be proved the same way as Proposition 6.2.

**Proposition 6.6.** Let  $X$  have the Daugavet property,  $F \in \mathcal{F}_{2,2} \cup \mathcal{F}_{3,2}$ , and let  $(f_1, f_2) \in S_F^+$  be the vector described above. Then  $G: X \rightarrow X \oplus_F X$ ,  $Gx = (f_1x, f_2x)$  is a Daugavet center.

*Proof.* Consider the adjoint operator  $G^*: X^* \oplus_{F^*} X^* \rightarrow X^*$ . For every  $(x_1^*, x_2^*) \in X^* \oplus_{F^*} X^*$  and every  $x \in X$  we have

$$G^*(x_1^*, x_2^*)(x) = \langle (f_1x, f_2x), (x_1^*, x_2^*) \rangle = f_1x_1^*(x) + f_2x_2^*(x).$$

Consequently,  $G^*(x_1^*, x_2^*) = f_1x_1^* + f_2x_2^*$  for every  $(x_1^*, x_2^*) \in X^* \oplus_{F^*} X^*$ . By Proposition 6.2  $G^*$  is a Daugavet center. The equation (1.1) implies that if  $G^*$  is a Daugavet center then  $G$  is as well.  $\square$

**Corollary 6.7.** For an  $F$  there exists a Daugavet range  $X_1 \oplus_F X_2$  if and only if  $F \in \mathfrak{N}_2$ .

**Remark 6.8.** Since  $\mathfrak{N}_2 \not\subseteq \mathfrak{M}_2$ , we have the examples of Daugavet ranges which are not Daugavet domains.

Proposition 6.9 which gives more examples of Daugavet centers acting into  $X_1 \oplus_F X_2$  for  $F = \ell_1^{(2)}$  and  $F = \ell_\infty^{(2)}$ , can be proved in a very similar way to Proposition 6.6.

**Proposition 6.9.** Let  $X$  have the Daugavet property. Then

- (a) The operator  $G: X \rightarrow X \oplus_\infty X$ ,  $Gx = (x, x)$  is a Daugavet center.
- (b) For every  $f_1, f_2 > 0$  the operator  $G: X \rightarrow X \oplus_1 X$ ,  $Gx = (f_1x, f_2x)$  is a Daugavet center.

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DEPARTMENT OF MECHANICS AND MATHEMATICS, V.N. KARAZIN KHARKIV NATIONAL UNIVERSITY, PL. SVOBODY 4, 61077 KHARKIV, UKRAINE  
*E-mail address:* t.bosenko@mail.ru